



E D &amp; F MAN CAPITAL MARKETS LIMITED

## Tax Voucher

We ED&F Man Capital Markets Ltd, based at Cotton's Centre, Hays Lane, London SE1 2QE and registered in the United Kingdom – confirm, Autoparts Pensions Group Trust – Suite C, 2050 Regency Road, Lexington, Kentucky, 40503, USA, was holding the below security over the dividend date.

Security Description:	Novo Nordisk A/S
ISIN:	DK0060534915
SEDOL:	BHC8X90
Ex Date:	20/03/2015
Record Date:	23/03/2015
Pay Date:	24/03/2015
Quantity:	125,000.00
Gross Div Rate:	5
Amount Received:	456,250.00
WHT Suffered:	168,750.00
Currency:	DKK
WHT %:	27%

ED&F Man Capital Markets Limited has no beneficial interest in the holding and will not be reclaiming the tax. The dividends specified on this credit advice were paid net of withholding tax to Autoparts Pensions Group Trust. If you have any further concerns or issues please do not hesitate to contact us.

### AUTHORISED SIGNATORY

Christina MacKinnon

Head of Securities Operations



E D &amp; F MAN CAPITAL MARKETS LIMITED

## Tax Voucher

We ED&F Man Capital Markets Ltd, based at Cotton's Centre, Hays Lane, London SE1 2QE and registered in the United Kingdom – confirm, Casting Pensions Group Trust – Suite C, 2050 Regency Road, Lexington, Kentucky, 40503, USA, was holding the below security over the dividend date.

Security Description:	Novo Nordisk A/S
ISIN:	DK0060534915
SEDOL:	BHC8X90
Ex Date:	20/03/2015
Record Date:	23/03/2015
Pay Date:	24/03/2015
Quantity:	125,000.00
Gross Div Rate:	5
Amount Received:	456,250.00
WHT Suffered:	168,750.00
Currency:	DKK
WHT %:	27%

ED&F Man Capital Markets Limited has no beneficial interest in the holding and will not be reclaiming the tax. The dividends specified on this credit advice were paid net of withholding tax to Casting Pensions Group Trust. If you have any further concerns or issues please do not hesitate to contact us.

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Christina MacKinnon

Head of Securities Operations



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## Tax Voucher

We ED&F Man Capital Markets Ltd, based at Cotton's Centre, Hays Lane, London SE1 2QE and registered in the United Kingdom – confirm, Central Technologies Pensions Group Trust - Suite C, 2050 Regency Road, Lexington, Kentucky, 40503, USA, was holding the below security over the dividend date.

Security Description:	Novo Nordisk A/S
ISIN:	DK0060534915
SEDOL:	BHC8X90
Ex Date:	20/03/2015
Record Date:	23/03/2015
Pay Date:	24/03/2015
Quantity:	125,000.00
Gross Div Rate:	5
Amount Received:	466,250.00
WHT Suffered:	168,750.00
Currency:	DKK
WHT %:	27%

ED&F Man Capital Markets Limited has no beneficial interest in the holding and will not be reclaiming the tax. The dividends specified on this credit advice were paid net of withholding tax to Central Technologies Pensions Group Trust. If you have any further concerns or issues please do not hesitate to contact us.

### AUTHORISED SIGNATORY

Christina MacKinnon

Head of Securities Operations



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## Tax Voucher

We ED&F Man Capital Markets Ltd, based at Cotton's Centre, Hays Lane, London SE1 2QE and registered in the United Kingdom – confirm, Industrial Pension Group Trust – Suite C, 2050 Regency Road, Lexington, Kentucky, 40503, USA, was holding the below security over the dividend date.

Security Description:	Novo Nordisk A/S
ISIN:	DK0060534915
SEDOL:	BHC8X90
Ex Date:	20/03/2015
Record Date:	23/03/2015
Pay Date:	24/03/2015
Quantity:	125,000.00
Gross Div Rate:	5
Amount Received:	456,250.00
WHT Suffered:	168,750.00
Currency:	DKK
WHT %:	27%

ED&F Man Capital Markets Limited has no beneficial interest in the holding and will not be reclaiming the tax. The dividends specified on this credit advice were paid net of withholding tax to Industrial Pension Group Trust. If you have any further concerns or issues please do not hesitate to contact us.

### AUTHORISED SIGNATORY

Christina MacKinnon

Head of Securities Operations





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## Tax Voucher

We ED&F Man Capital Markets Ltd, based at Cotton's Centre, Hays Lane, London SE1 2QE and registered in the United Kingdom – confirm, Koultroulakis & Co Corporate Pension Plan - 204 Dunlop Street West, Barrie, Ontario, L4N 1B3, Canada, was holding the below security over the dividend date.

Security Description:	Novo Nordisk A/S
ISIN:	DK0060534915
SEDOL:	BHC8X90
Ex Date:	20/03/2015
Record Date:	23/03/2015
Pay Date:	24/03/2015
Quantity:	1,100,000.00
Gross Div Rate:	5
Amount Received:	4,015,000.00
WHT Suffered:	1,485,000.00
Currency	DKK
WHT %:	27%

ED&F Man Capital Markets Limited has no beneficial interest in the holding and will not be reclaiming the tax. The dividends specified on this credit advice were paid net of withholding tax to Koultroulakis & Co Corporate Pension Plan. If you have any further concerns or issues please do not hesitate to contact us.

### AUTHORISED SIGNATORY

Christina MacKinnon

Head of Securities Operations



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## Tax Voucher

We ED&F Man Capital Markets Ltd, based at Cotton's Centre, Hays Lane, London SE1 2QE and registered in the United Kingdom – confirm, Linden Associates Defined Benefit Plan - 5532 Lillehammer Lane, Suite 103, Park City, Utah, 84098, USA, was holding the below security over the dividend date.

Security Description:	Novo Nordisk A/S
ISIN:	DK0060534915
SEDOL:	BHC8X90
Ex Date:	20/03/2015
Record Date:	23/03/2015
Pay Date:	24/03/2015
Quantity:	1,400,000.00
Gross Div Rate:	5
Amount Received:	5,110,000.00
WHT Suffered:	1,890,000.00
Currency	DKK
WHT %:	27%

ED&F Man Capital Markets Limited has no beneficial interest in the holding and will not be reclaiming the tax. The dividends specified on this credit advice were paid net of withholding tax to Linden Associates Defined Benefit Plan. If you have any further concerns or issues please do not hesitate to contact us.

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Christina MacKinnon

Head of Securities Operations



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## Tax Voucher

We ED&F Man Capital Markets Ltd, based at Cotton's Centre, Hays Lane, London SE1 2QE and registered in the United Kingdom – confirm, RIVERSIDE ASSOCIATES DEFINED BENEFIT PLAN - 5532 Lillehammer Lane, Suite 103, Park City, Utah, 84098, USA, was holding the below security over the dividend date.

Security Description:	Novo Nordisk A/S
ISIN:	DK0060534915
SEDOL:	BHC8X90
Ex Date:	20/03/2015
Record Date:	23/03/2015
Pay Date:	24/03/2015
Quantity:	1,400,000.00
Gross Div Rate:	5
Amount Received:	5,110,000.00
WHT Suffered:	1,890,000.00
Currency	DKK
WHT %:	27%

ED&F Man Capital Markets Limited has no beneficial interest in the holding and will not be reclaiming the tax. The dividends specified on this credit advice were paid net of withholding tax to RIVERSIDE ASSOCIATES DEFINED BENEFIT PLAN. If you have any further concerns or issues please do not hesitate to contact us.

### AUTHORISED SIGNATORY

Christina MacKinnon

Head of Securities Operations



**Charlotte Woodward**

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**From:** Mina, Sara (LDN) <smina@edfmancapital.com>  
**Sent:** 13 March 2015 15:43  
**To:** Stacey Kaminer  
**Cc:** Alan Goldman; LDN-EQUITYFINANCE-TRADS-DL  
**Subject:** RE: NOVOB DC potential liquidity 4 million shares  
**Attachments:** RIVERSIDE ASSOCIATES DEFINED BENEFIT PLAN stock NOVOB DC 1.4 million; Linden Associates Defined Benefit Plan Stock NOVOB DC 1.4 million; The Goldstein Law Group PC 401(K) Profit Sharing Plan FBO Sheldon and Scott Goldstein Stock NOVOB DC 1.2 million; Linden Associates Defined Benefit Plan Futures NFY 14k; RIVERSIDE ASSOCIATES DEFINED BENEFIT PLAN Futures NFY 14k; The Goldstein Law Group PC 401(K) Profit Sharing Plan FBO Sheldon and Scott Goldstein Futures NFY 12k

Hi Stacey,

Please find your confirms attached.

Thanks,  
Sara

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**From:** Stacey Kaminer [mailto:sk@aigkamco.com]  
**Sent:** 13 March 2015 14:11  
**To:** Mina, Sara (LDN)  
**Cc:** Alan Goldman; LDN-EQUITYFINANCE-TRADS-DL  
**Subject:** RE: NOVOB DC potential liquidity 4 million shares

The following accounts are interested:

GOLDST-LAWGP for 1.2 mil shares  
RIVERS-ASSOC for 1.4 mil shares  
LINDEN-ADBPL for 1.4 mill shares

The pricing is acceptable.

Best,

Stacey

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**From:** Mina, Sara (LDN) [mailto:smina@edfmancapital.com]  
**Sent:** Friday, March 13, 2015 7:31 AM  
**To:** Stacey Kaminer  
**Cc:** Alan Goldman; LDN-EQUITYFINANCE-TRADS-DL  
**Subject:** NOVOB DC potential liquidity 4 million shares

Morning Stacey,

We have seen some decent liquidity in NOVOB DC vs April expiry Ice futures per below details. Details are bellow. Let me know if these work for you and if so we will allocate to you.

Many thanks,



NOVOB DC  
TD March 13<sup>th</sup>  
SD March 17<sup>th</sup>  
Quantity 4,000,000  
Buy Stock @ 329.1  
Sell Futures @ 324.5885

Sara Mina  
Equity Finance Trader

**ED&F Man Capital Markets Limited**  
Cottons Centre, Hay's Lane, London, SE1 2QE  
Direct: +44 (0)203 580 7629 | Desk: +44 (0)203 580 7625 | Mobile: +44 (0)7810867085  
[smina@edfmancapital.com](mailto:smina@edfmancapital.com) | [www.edfman.com](http://www.edfman.com)

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**Charlotte Woodward**

**From:** Mina, Sara (LDN) <smina@edfmancapital.com>  
**Sent:** 13 March 2015 15:33  
**To:** Mina, Sara (LDN)  
**Subject:** Linden Associates Defined Benefit Plan Stock NOVOB DC 1.4 million  
**Attachments:** ATT00001.bin

Trade Reference 422837

E D & F Man Capital Markets Limited  
 Cottons Centre, Hay's Lane, London, SE1 2QE  
 Tel 0207 089 8000

Trade Date: 13/03/2015  
 Settlement Date: 17/03/2015  
 Customer: Linden Associates Defined Benefit Plan  
 Broker: ED&F Man Capital Markets Ltd  
 Trade Type: Buy  
 Sec Desc: NOVO NORDISK A/S-B  
 ISIN: DK0060534915  
 Gross Price: 329.104110  
 Net Price: 329.104110  
 Quantity: 1,400,000  
 Settlement Method: DNI  
 Net Cash: 460,745,754.00  
 Currency: DKK  
 Comments:  
 Commission:

All trades are conducted off-exchange. Information regarding the type of order given by you and whether we

have used an affiliate to facilitate execution and/or settlement are available on request. Time Stamps are GMT

This transaction has been undertaken in accordance with E D & F Man Capital Markets Limited Terms of Business and Execution Policy.

A copy of this policy is available from E D & F Man Capital Markets Limited and its affiliates, may receive monetary remuneration for directing order flow to particular broker/dealer or market centres for execution. When such remuneration is received, it is considered compensation to E D & F Man Capital Markets Limited or its affiliates. The source and amount of any compensation received will be disclosed.

Should you have any issue related to this confirm please contact our settlements Dept:

Tel: +44 (0) 20 3580 7234  
 Email:  
[secops@edfmancapital.com](mailto:secops@edfmancapital.com)

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Registered in England No. 194926



**Charlotte Woodward**

**From:** Mina, Sara (LDN) <smina@edfmancapital.com>  
**Sent:** 13 March 2015 15:34  
**To:** Mina, Sara (LDN)  
**Subject:** RIVERSIDE ASSOCIATES DEFINED BENEFIT PLAN stock NOVOB DC 1.4 million  
**Attachments:** ATT00001.bin

Trade Reference 422838

E D & F Man Capital Markets Limited  
 Cottons Centre, Hay's Lane, London, SE1 2QE  
 Tel 0207 089 8000

Trade Date: 13/03/2015  
 Settlement Date: 17/03/2015  
 Customer: RIVERSIDE ASSOCIATES DEFINED BENEFIT PLAN  
 Broker: ED&F Man Capital Markets Ltd  
 Trade Type: Buy  
 Sec Desc: NOVO NORDISK A/S-B  
 ISIN: DK0060534915  
 Gross Price: 329.104110  
 Net Price: 329.104110  
 Quantity: 1,400,000  
 Settlement Method: DNI  
 Net Cash: 460,745,754.00  
 Currency: DKK  
 Comments:  
 Commission:

All trades are conducted off-exchange. Information regarding the type of order given by you and whether we

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**Charlotte Woodward**

**From:** Mina, Sara (LDN) <smina@edfmancapital.com>  
**Sent:** 13 March 2015 15:32  
**To:** Mina, Sara (LDN)  
**Subject:** The Goldstein Law Group PC 401(K) Profit Sharing Plan FBO Sheldon and Scott Goldstein Stock  
 NOVOB DC 1.2 million  
**Attachments:** ATT00001.bin

Trade Reference 422839

E D & F Man Capital Markets Limited  
 Cottons Centre, Hay's Lane, London, SE1 2QE  
 Tel 0207 089 8000

Trade Date:	13/03/2015
Settlement Date:	17/03/2015
Customer:	The Goldstein Law Group PC 401(K) Profit Sharing Plan FBO Sheldon and Scott Goldstein
Broker:	ED&F Man Capital Markets Ltd
Trade Type:	Buy
Sec Desc:	NOVO NORDISK A/S-B
ISIN:	DK0060534915
Gross Price:	329.104110
Net Price:	329.104110
Quantity:	1,200,000
Settlement Method:	DNI
Net Cash:	394,924,932.00
Currency:	DKK
Comments:	
Commission:	

All trades are conducted off-exchange. Information regarding the type of order given by you and whether we have used an affiliate to facilitate execution and/or settlement are available on request. Time Stamps are GMT

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